BRIEF CONTENTS

About the Author		XV
Foreword		xvii
Pr	eface	xix
1.	Getting Started: How to Know Whether and When to Use the Case Study as a Research Method	3
2.	Designing Case Studies: Identifying Your Case(s) and Establishing the Logic of Your Case Study	27
3.	Preparing to Collect Case Study Evidence: What You Need to Do before Starting to Collect Case Study Data	71
4.	Collecting Case Study Evidence: The Principles You Should Follow in Working with Six Sources of Evidence	103
5.	Analyzing Case Study Evidence: How to Start Your Analysis, Your Analytic Choices, and How They Work	133
6.	Reporting Case Studies: How and What to Compose	177
Ap	opendix A: A Note on the Uses of Case Study Research in Psychology	209
Ap	ppendix B: A Note on the Uses of Case Study Research in Evaluations	219
Ar	opendix C: Index of Individual Case Studies (cited in BOXES or from Expanded Case Study	
	Materials)	229

Brief Glossary of Terms Directly Related to	
Case Study Research	237
References	243
Author Index	259
Subject Index	265

DETAILED CONTENTS

Ab	out the Author	XV
For	reword Donald T. Campbell	xvii
Pre	eface	xix
	Rising Recognition for Case Study Research	xix
	Distinctiveness of This Book	xxi
	Using This Book	xxii
	New Features in This Edition	xxiii
	Added breadth and depth	xxiii
	Additional changes in the fifth edition	xxiv
	Acknowledgments	xxvi
	Notes	xxvii
1.	Getting Started: How to Know Whether and When	
	to Use the Case Study as a Research Method	3
	The Case Study as a Research Method	3
	Doing Case Study Research	3
	Salience of Case Study Research in Different Fields	4
	Comparing the Case Study with Other Research Methods	
	in the Social Sciences	5
	Relationships among the Methods: Not Hierarchical	6
	When to Use Each Method	9
	Types of research questions	10
	Extent of control over behavioral events and degree	
	of focus on contemporary as opposed to entirely historical events	12
	A special situation in evaluation research	13
	Summary	14
	Variations in Case Studies, but a Common Definition	15
	Definition of the Case Study as a Research Method	15
	Twofold definition of case study	16
	Applicability of different epistemological orientations	17

	Variations in Case Studies as a Research Method	18
	Addressing Traditional Concerns about Case Study Research	19
	Rigorous enough?	19
	Confusion with teaching cases?	20
	Generalizing from case studies?	20
	Unmanageable level of effort?	21
	Comparative advantage?	21
	Summary	22
	Summary	23
	Notes to Chapter 1	23
	Tutorial 1.1: More on Defining the "Case Study"	24
2.	Designing Case Studies: Identifying Your Case(s)	
	and Establishing the Logic of Your Case Study	27
	General Approach to Designing Case Studies	27
	Definition of Research Designs	28
	Components of Research Designs	29
	Study questions	29
	Study propositions	30
	Unit of analysis—the "case"	31
	Linking data to propositions	35
	Criteria for interpreting a case study's findings	36
	Summary	36
	The Role of Theory or Theoretical Propositions	2.5
	in Research Designs	37
	Theory Development	37
	Illustrative Topics for Theories	39
	Use of Theory to Generalize from Case Studies	40
	Summary	44
	Criteria for Judging the Quality of Research Designs	45
	Construct Validity	46
	Internal Validity	47
	External Validity	48
	Reliability	48
	Summary	49
	Case Study Research Designs	49
	What Are the Potential Single-Case Designs	
	(Types 1 and 2)?	51
	Five rationales for single-case designs	51
	Holistic versus embedded case studies	53
	Summary	56

	What Are the Potential Multiple-Case Designs	
	(Types 3 and 4)?	56
	Multiple- versus single-case designs	56
	Replication, not sampling logic, for	
	multiple-case studies	57
	Rationale for multiple-case designs	61
	Multiple-case studies: Holistic or embedded	62
	Summary	63
	Modest Advice in Selecting Case Study Designs	63
	Single- or Multiple-Case Designs?	63
	Closed or Adaptive Designs?	65
	Mixed Methods Designs: Mixing Case Studies	
	with Other Methods?	65
	Notes to Chapter 2	67
	Tutorial 2.1: More on Defining	
	"Analytic Generalization"	68
3.	Preparing to Collect Case Study Evidence: What You	
	Need to Do before Starting to Collect Case Study Data	71
	The Case Study Researcher: Desired Skills and Values	72
	Asking Good Questions	73
	Being a Good "Listener"	74
	Staying Adaptive	74
	Having a Firm Grasp of the Issues Being Studied	75
	Avoiding Bias and Collecting Research Ethically	76
	Preparation and Training for a Specific Case Study	77
	Protecting Human Subjects	77
	Training to Do the Case Study	79
	Protocol development and review	82
	Problems to be addressed during training	82
	The Case Study Protocol	84
	Overview of the Case Study (Section A of the Protocol)	86
	Data Collection Procedures (Section B of the Protocol)	88
	Data Collection Questions (Section C of the Protocol)	89
	General orientation of the questions	89
	Five levels of questions	90
	Undesired confusion between unit of data	
	collection and unit of analysis	92
	Other data collection devices	93
	Guide for the Case Study Report (Section D of	papernine
	the Protocol)	93

	Screening the Candidate Cases for Your Case Study	95
	A one-phase approach	95
	A two-phase approach	95
	The Pilot Case Study	96
	Selection of Pilot Cases	96
	Scope of the Pilot Inquiry	97
	Reports from the Pilot Cases	98
	Summary	98
	Notes to Chapter 3	99
	Tutorial 3.1: More on Review by Institutional Review	
	Boards (IRBs)	100
4.	Collecting Case Study Evidence: The Principles You	
	Should Follow in Working with Six Sources	
	of Evidence	103
	Supporting Textbooks	103
	Supporting Principles	103
	Six Sources of Evidence	105
	Documentation Documentation	105
	Archival Records	109
	Interviews	110
	Prolonged case study interviews	110
	Shorter case study interviews	111
	Survey interviews in a case study	112
	Summary	113
	Direct Observations	113
	Participant-Observation	115
	Physical Artifacts	117
	Summary	118
	Four Principles of Data Collection	118
	Principle 1: Use Multiple Sources of Evidence	118
	Triangulation: Rationale for using multiple	
	sources of evidence	119
	Prerequisites for using multiple sources	
	of evidence	122
	Principle 2: Create a Case Study Database	123
	Field notes	124
	Case study documents	125
	Tabular materials	126
	New narrative compilations	126
	Principle 3: Maintain a Chain of Evidence	127

	Principle 4: Exercise Care When Using Data from	
	Electronic Sources	129
	A broad array of electronic sources	129
	Cautions	129
	Summary	130
	Notes to Chapter 4	130
5.	Analyzing Case Study Evidence: How to Start Your	
	Analysis, Your Analytic Choices, and How They Work	133
	An Analytic Strategy: More Than Familiarity with Analytic Tools	133
	Need for an Analytic Strategy	133
	Another challenge	133
	Computer-assisted tools	134
	Starting an analytic strategy	135
	Four General Strategies	136
	Relying on theoretical propositions	136
	Working your data from the "ground up"	136
	Developing a case description	139
	Examining plausible rival explanations	140
	Summary	142
	Five Analytic Techniques	142
	1. Pattern Matching	143
	Nonequivalent dependent variables as a pattern	143
	Rival independent variables as a pattern	146
	Precision of pattern matching	147
	2. Explanation Building	147
	Elements of explanations	147
	Iterative nature of explanation building	149
	Potential problems in explanation building	150
	3. Time-Series Analysis	150
	Simple time series	151
	Complex time series	152
	Chronological sequences	154
	Summary conditions for time-series analysis	154
	4. Logic Models	155
	Individual-level logic model	157
	Firm- or organizational-level logic model	159
	Program-level logic model	159
	Sharpening your use of logic models	159
	Summary	163
	5. Cross-Case Synthesis	164

	Pressing for a High-Quality Analysis Summary	16 16
	Note to Chapter 5	170
	Tutorial 5.1: More on Using CAQDAS Software to Analyze Case Study Data	17:
	Tutorial 5.2: Depicting a Nonlinear Logic Model	
	Tutorial 5.3: When a Case Study Has Many Cases	172 174
6.	Reporting Case Studies: How and What to Compose	177
	Having a Flair	
	What "Composing" Covers	177 178
	Audiences for Case Study Reports	
	Potential Audiences	179
	Orienting a Case Study Report to an Audience's Needs	179
	Communicating with Case Studies	181
	Varieties of Case Study Compositions	182
	Reporting Formats	183
	Single-case study	183
	Multiple-case study	183
	Option for either a single- or multiple-case study	184
	Option for multiple-case study only	185 186
	Illustrative Overall Structures for Case Study Compositions	187
	Linear-analytic structures	188
	Comparative structures	188
	Chronological structures	188
	Theory-building structures	189
	Suspense structures	189
	Unsequenced structures	189
	Methods and Research Literature Portions of a	109
	Case Study Report	190
	Description of methods	191
	Coverage of research literature	192
	Case Studies as Part of Larger, Mixed Methods Studies	193
	Procedures in Composing a Case Study Report	193
	When and How to Start Composing	195
	Case Identities: Real or Anonymous?	196
	Reviewing the Draft Case Study: A Validating Procedure	198
	What Makes an Exemplary Case Study?	200
	The Case Study Must Be Significant	200
	The Case Study Must Be "Complete"	201
	The second secon	202

The Case Study Must Consider Alternative Perspectives	203
The Case Study Must Display Sufficient Evidence	205
The Case Study Must Be Composed in an	
Engaging Manner	205
Notes to Chapter 6	206
Tutorial 6.1: Reporting Your Case Study Methodology	
as an Independent Research Article	207
Appendix A: A Note on the Uses of Case Study	
Research in Psychology	209
Clarifying the Niche for Case Study Research: Three Comparisons	209
1. Case study research compared to other uses of case studies	209
2. Case study research compared to other social	
science methods	209
3. Case study research compared to three other	
research methods in psychology	210
Case Studies: Conditions That Lead to Having More	
Variables Than Data Points	212
In-depth inquiry	212
Conditions over time	214
Contextual conditions	214
Summary of three conditions	214
Motives for Using Case Study Research in Psychology	215
Exploration	215
Description and explanation	215
Evaluation	217
Caveats and Concerns in Doing Case Study Research	217
Note to Appendix A	218
Appendix B: A Note on the Uses of Case Study Research	
in Evaluations	219
Case Study Research as an Evaluation Method	220
Case Study Research as Part of a Larger Evaluation	220
Case Study Research as the Primary Evaluation Method	222
1. Focus on the initiative	222
2. Focus on outcomes	224
3. Focus on initiative and outcomes	225
Case Study Research as Part of Dual-Level Evaluation Arrangements	226
Summary	227
Notes to Appendix B	227
en - variable (1.1 1904) - Variable Ballo (4.1 1907) (4.1 1907)	

Appendix C: Index of Individual Case Studies (cited in	
BOXES or from Expanded Case Study Materials)	229
Index to Case Studies	229
List of BOXES	230
References to Expanded Case Study Materials	234
Brief Glossary of Terms Directly Related to	
Case Study Research	237
References	243
Author Index	259
Subject Index	265